



**Date: 01.01.2021**

The Head- Listing Compliance  
**BSE Limited,**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Fort Mumbai- 400001

The Head- Listing Compliance  
**National Stock Exchange of  
India Ltd.**  
Exchange Plaza,  
Plot no. C/1, G Block,  
Bandra-Kurla Complex  
Mumbai - 400 051

The Head- Listing Compliance  
**The Calcutta Stock Exchange Ltd.**  
7, Lyons Range, Murgighata,  
BBD Bagh, Kolkata,  
West Bengal- 700001

**Security Code: 511611**

**Stock Code: DCMFINSERV**

**Sub: Intimation of Board Meeting Pursuant to Regulation 29 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

Sir/ Madam,

This is to inform you that the Meeting of the Board of Directors of the Company will be held on **Thursday, 11<sup>th</sup> February, 2021 at 04.00 P.M.** at the Registered Office of the Company at **D-7/3 Okhla Industrial Area, Phase-2, New Delhi-110020** inter alia to consider and take on record the following matters:

1. To Consider and approve the **Unaudited Standalone and Consolidated Financial Results** as per Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended December 31, 2020 together with the Limited Review Report.
2. To Take Note for the period ended on 31<sup>st</sup> December, 2020:
  - i. Intimation for Closure of Trading Window for Designated Persons as required under SEBI (Prohibition of Insider Trading) (Amendment) Regulations, 2018;
  - ii. Certificate of Applicability of Compliance with Report on Corporate Governance as required under Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015;
  - iii. Statement of Investor Complaints under Regulation 13(3) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015;

**DCM FINANCIAL SERVICES LIMITED**

CIN L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.in

Website: www.dfsionline.com



- iv. The Reconciliation of Share Capital Audit Report under Regulation 76 of the SEBI (Depositories and Participants) Regulations, 2018;
  - v. Shareholding pattern pursuant to Regulation 31 of the (Listing Obligations and Disclosure Requirements) Regulation 2015;
  - vi. Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.
3. Certificate of Non-Applicability of Disclosure of Related Party Transactions on a consolidated basis as required under Regulation 23(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for half year ended on 30<sup>th</sup> September, 2020.
  4. To take note & discuss on notice received from NSE regarding Board Composition under Regulation 17 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 w.r.t Corporate Governance Report submitted by the Company to the Exchange for the quarter ended June 30, 2020 and September 30, 2020.
  5. To take note & discuss on notice received from NSE & BSE regarding Fine imposed for non-compliance with Regulation 23(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015 for half year ended on 30<sup>th</sup> September, 2020.

Kindly take the above information for your records.

**For DCM Financial Services Limited**

A handwritten signature in black ink, appearing to read 'Shantanu Deveshwar', is written over a light blue horizontal line.

**(Shantanu Deveshwar)**  
**(DIN: 08268523)**  
**Whole Time Director**

**Place: New Delhi**

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