

Annexure - I

Format of holding of specified securities

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|---|--------------------------------|
| 1. Name of Listed Entity: | DCM FINANCIAL SERVICES LIMITED |
| 2. Scrip Code/Name of Scrip/Class of Security | Equity |
| 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) | Reg. 31(1)(b) |
| a. If under 31(1)(b) then indicate the report for Quarter ending | 30-Sep-21 |
| b. If under 31(1)(c) then indicate date of allotment/extinguishment | |
| 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- | |

	Particulars	Yes*	No*
1.	Whether the Listed Entity has issued any partly paid up shares?		No
2.	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3.	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4.	Whether the Listed Entity has any shares in locked-in?		No
5.	Whether any shares held by promoters are pledge or otherwise encumbered?		No

** If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.*

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class:Equity	Class:gy	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)=(iv)+(v)+(vi)	(viii)	(ix)				(x)	(xi)=(vii)+(x)	(xii)		(xiii)		(xiv)
(A)	Promoter & Promoter Group	4	8739952	0	0	8739952	39.50	8739952	0	8739952	39.50	0	39.50	0	0	0	0	8739952
(B)	Public	22127	13385102	0	0	13385102	60.50	13385102	0	13385102	60.50	0	60.50	0	0	0	0	9690118
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	22131	22125054	0	0	22125054	100.00	22125054	0	22125054	100	0	100	0	0	0	0	18430070

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	No. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
										No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
										Class X	Class Y	Total								
(I)			(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)	
1	Indian																			
(a)	Individual/Hindu Undevided Family			1	15	0	0	15	0.00	15	0	15	0.00	0	0.00	0	0.00	0	0.00	15
	Vivek Bharat Ram	Promoter	AAAPV0837A	1	15	0	0	15	0.00	15	0	15	0.00	0	0.00	0	0.00	0	0.00	15
(b)	Central Government/Staten Government(s)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other (Specity)			3	8739937	0	0	8739937	39.50	8739937	0	8739937	39.50	0	39.50	0	0.00	0	0.00	8739937
	Bodies Corporate (DCM SERVICES LTD)	Promoter	AAACD0426A	1	6352487	0	0	6352487	28.71	6352487	0	6352487	28.71	0	28.71	0	0.00	0	0.00	6352487
	Bodies Corporate (SHRIRAM GLOBAL ENTERPRISES LIMITED)	Promoter	AAACD6846Q	1	312450	0	0	312450	1.41	312450	0	312450	1.41	0	1.41	0	0.00	0	0.00	312450
	Bodies Corporate (INTELLECT CAPITAL SERVICES PVT LTD)	Promoter	AABCI7241P	1	2075000	0	0	2075000	9.38	2075000	0	2075000	9.38	0	9.38	0	0.00	0	0.00	2075000
	Sub Total (A)[1]			4	8739952	0	0	8739952	39.50	8739952	0	8739952	39.50	0	39.50	0	0.00	0	0.00	8739952
2	Foreign			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(a)	Individual/Non Resident Individual/Foreing Individual			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specity)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (A)[2]			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)[1]+(A)[2]			4	8739952	0	0	8739952	39.50	8739952	0	8739952	39.50	0	39.50	0	0.00	0	0.00	8739952

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders	PAN	Nos. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)	(XIV)			
1	Institutions																		
	(a) Mutual Fund		8	33700	0	0	33700	0.15	33700	0	33700	0.15	0	0.15	0	0.00	N.A	0	0
	(b) Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(c) Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(d) Foreign Venture Capital Investor		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(e) Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(f) Financial Institutions/Banks		3	1352700	0	0	1352700	6.11	1352700	0	1352700	6.11	0	6.11	0	0.00	N.A	0	0
	Punjab & Sind Bank	AAACP1206G	1	1319900	0	0	1319900	5.97	1319900	0	1319900	5.97	0	5.97	0	0.00	0	0	0
	(g) Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(h) Provident Fund/Pensions Funds		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(i) Any Other Specify		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	Sub Total (B)(1)		11	1386400	0	0	1386400	6.27	1386400	0	1386400	6.27	0	6.27	0	0.00	N.A	0	0
2	Central Government/State Government/President of India		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
3	Non-Institutions																		
	(a) 1. Individual Shareholders holding Nominal Share Capital Up to 2 Lacs		21470	8395556	0	0	8366802	37.81	8366802	0	8366802	37.81	0	37.81	0	0.00	N.A	0	6650693
	2. Individual Shareholders holding Nominal Share Capital Above 2 Lacs		42	2095125	0	0	2094291	9.46	2094291	0	2094291	9.46	0	9.46	0	0.00	N.A	0	2013433
	BHARATKUMAR HARIKRISHNA PARIKH	AFFPP7433K	1	240270	0	0	240270	1.09	240270	0	240270	1.09	0	1.09	0	0.00	N.A	0	240270
	KOKILABEN BHARATKUMAR PARIKH	AFHPP7535B	1	275391	0	0	275391	1.24	275391	0	275391	1.24	0	1.24	0	0.00	N.A	0	275391
	(b) NBFCs Registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(c) Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(d) Overseas Depositories (Holding DRs) (Balancing Figure)		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(e) Any other (Specify)		604	1508021	0	0	1537609	6.95	1537609	0	1537609	6.95	0	6.95	0	0.00	N.A	0	1022192
	(e1) Bodies Corporate																		
	CENTENNIAL CORPORATION PVT LTD	AAAC16746G	1	599690	0	0	599690	2.71	599690	0	599690	2.71	0	2.71	0	0.00	N.A	0	599690
	(e2) Non Resident Indians		447	547130	0	0	547130	2.48	547130	0	547130	2.48	0	2.48	0	0.00	N.A	0	100530
	NRI WITH REPAT		39	34109	0	0	34109	0.16	0	0	34109	0.16	0	0.16	0	0.00	N.A	0	33809
	NRI WITHOUT REPAT		408	513021	0	0	513021	2.32	0	0	513021	2.28	0.00	2.28	0	0.00	N.A	0	66721
	(e3) Resident Indian Huf		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(e4) Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(e5) Clearing Members/House		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	(e6) Others		0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0	0	0
	Sub Total (B)(3)		22116	11998702	0	0	11998702	54.23	11998702	0	11998702	54.23	0	54.23	0	0.00	N.A	0	9690118
	Total Public Shareholding (B) = (B)(1)+(B)(2) + B (3)		22127	13385102	0	0	13385102	60.50	13385102	0	13385102	60.50	0	60.5	0	0.00	N.A	0	9690118

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (Not Applicable)	
									No of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
									Class X	Class Y									Total
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)=(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)=(vii)+(x)	(xii)		(xiii)	(xiv)		
1	Custodian/DR																		
(a)	Name of DR Holder (if Available)		0	0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	N.A	0.00	0
2	Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)		0	0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	N.A	0.00	0
(a)	Name of DR Holder (if Available)		0	0	0	0	0	0	0	0	0	0.00	0	0	0.00	N.A	0.00	0	0
	Total Non Promoter Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0.00	0	0	0.00	N.A	0.00	0	0
	Total (A+B+C2)		22131	22125054	0	0	22125054	100	22125054	0	22125054	100.00	0	100	0	0.00	N.A	0	18430070
	Total (A+B+C)		22131	22125054	0	0	22125054	100	22125054	0	22125054	100.00	0	100	0	0.00	N.A	0	18430070

For and on behalf of
DCM financial Services Limited
Sd/-
Shantanu Deveshwar
Whole Time Director

Date: 21.10.2021